



1646

Please type a plus sign (+) inside this box → ☒

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

1

Application Number

10/006,069

Filing Date

December 6, 2001

First Named Inventor

Rebar, Edward

Group Art Unit

1646

Examiner Name

Unassigned

Attorney Docket Number

019496-005830US

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Assignment Papers (for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):

Power of Attorney

Statement Under 37 CFR 3.73(b) with copy of Assignment and Probate Order

Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

**RECEIVED**

APR 15 2002

TECH CENTER 1600/2900

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name

Townsend and Townsend and Crew LLP

Andrew T. Serafini, Ph.D.

Reg. No. 41,303

Signature

Date

March 28, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

March 28, 2002

Typed or printed name

Kathy Johnston

Signature

Date

March 28, 2002

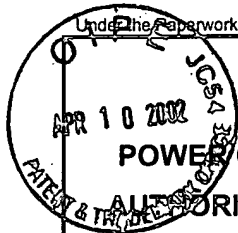
Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3211293 v1

Please type a plus sign (+) inside this box →

PTO/SB/81 (02-01)  
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT**

Application Number	10/006,069
Filing Date	December 6, 2001
First Named Inventor	Edward Rebar et al.
Title	REGULATION OF ANGIOGENESIS WITH ZINC FINGER PROTEINS
Group Art Unit	1646
Examiner Name	Not yet assigned
Attorney Docket Number	019496-005830US

#8  
JM  
5/18/02

I hereby appoint:

☒ Practitioners at Customer Number  
AND

20350

Place Customer  
Number Bar Code  
Label here

☒ Practitioner(s) named below:

Name	Registration Number
Sean Brennan	39,917

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

RECEIVED

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

☐ Practitioners at Customer Number

APR 15 2002

TECH CENTER 1600/2900

☐ Firm or  
Individual Name

Address

Address.

City

State

ZIP

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

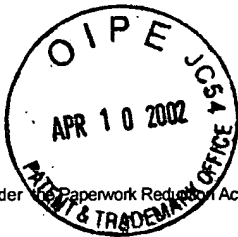
**SIGNATURE of Applicant or Assignee of Record**

Name	Peter Bluford, Vice President of Corporate Development Sangamo BioSciences, Inc.
Signature	<i>Peter Bluford</i>
Date	3-20-02

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
PA 3208993 v1



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PTO/SB/96 (08-00)  
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Attorney Docket No. 019496-005830US

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/~~Patent Owner~~: Edward Rebar et al.

Application No./Patent No.: 10/006,069

Filed/Issue Date: December 6, 2001

Entitled: REGULATION OF ANGIOGENESIS WITH ZINC FINGER PROTEINS

Sangamo BioSciences, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_%

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

**(NOTE:** A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

3-20-02

Date

Peter Bluford

Typed or printed name

Peter Bluford

Signature

Vice President of Corporate Development

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

## ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Edward Rebar of 1609 Roger Court, El Cerrito, CA 94530; Andrew Jamieson of 2528 Sutter Street, San Francisco, CA 94115; Qiang Liu of 55 Williams Lane, Foster City, CA 94404; Pei-Qi Liu of 2266 Bristlecone Drive, Richmond, CA 94803; Alan Wolffe of 155 Alice Lane, Orinda, CA 94563; Stephen P. Eisenberg of 5664 Pennsylvania Place, Boulder, CO 80303; Eric Jarvis of 2265 Dartmouth Avenue, Boulder, CO 80305; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

**Title of Invention:** REGULATION OF ANGIOGENESIS WITH ZINC FINGER PROTEINS

Filing Date: December 6, 2001

Application No.: 10/006,069; and

WHEREAS, Sangamo BioSciences, Inc., a corporation of the state of Delaware, located at Point Richmond Tech Center, 501 Canal Blvd., Suite A100, Richmond, CA, 94804, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignment

Attorney Docket No.: 019496-005830US

Page 2

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: 3/8/02



Edward Rebar .

Dated: 3/8/2002



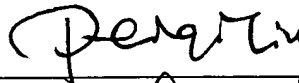
Andrew Jamieson

Dated: 3/8/2002



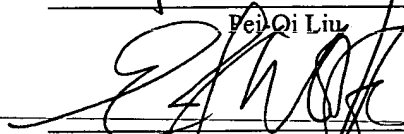
Qiang Liu

Dated: 3/7/2002



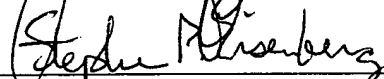
Fei Qi Liu

Dated: 03/05/02



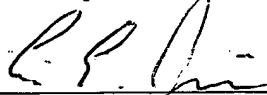
Alan Wolfe

Dated: 2/20/02



Stephen P. Eisenberg

Dated: 2/28/02



Eric Jarvis

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, state bar number, and address): (415) 442-0900      Telephone and Fax Nos.: W. SCOTT THOMAS, ESQ. (STATE BAR NO. 63597)      Fax: (415) 442-1010 BROBECK, PHLEGER & HARRISON LLP One Market, Spear Street Tower  San Francisco, CA 94105 ATTORNEY FOR (Name): Elizabeth J. Wolffe	<b>FOR COURT USE ONLY</b>  <div style="font-size: 2em; letter-spacing: 0.5em;">FILED</div> AUG 29 2001  <i>[Signature]</i>
<b>SUPERIOR COURT OF CALIFORNIA, COUNTY OF</b> Contra Costa STREET ADDRESS: 725 Court St., Room 103 MAILING ADDRESS: CITY AND ZIP CODE: Martinez, CA 94553-1233 BRANCH NAME:	
ESTATE OF (Name): ALAN P. WOLFFE <span style="float: right;">DECEDENT</span>	
<b>ORDER FOR PROBATE</b> ORDER <input type="checkbox"/> Executor APPOINTING <input type="checkbox"/> Administrator with Will Annexed <input type="checkbox"/> Administrator <input checked="" type="checkbox"/> Special Administrator <i>W. Scott Thomas</i> <input checked="" type="checkbox"/> Authorizing Independent Administration of Estate <input checked="" type="checkbox"/> with full authority <input type="checkbox"/> with limited authority	
CASE NUMBER:  <div style="font-size: 1.5em;">P01 - 01211</div>	
<b>WARNING: THIS APPOINTMENT IS NOT EFFECTIVE UNTIL LETTERS HAVE ISSUED.</b>	

1. Date of hearing: \_\_\_\_\_ Time: \_\_\_\_\_ Dept./Room: \_\_\_\_\_ Judge: \_\_\_\_\_

**THE COURT FINDS**

2. a. All notices required by law have been given.  
 b. Decedent died on (date): 05/26/01  
     (1) ☒ a resident of the California county named above.  
     (2) ☐ a nonresident of California and left an estate in the county named above.  
 c. Decedent died  
     (1) ☐ intestate  
     (2) ☒ testate

and decedent's will dated: July 24, 1996      and each codicil dated:  
 was admitted to probate by Minute Order on (date):

**THE COURT ORDERS**

3. (Name): Elizabeth J. Wolffe

is appointed personal representative:

- a. ☐ executor of the decedent's will  
 b. ☐ administrator with will annexed  
 c. ☐ administrator

- d. ☒ special administrator  
     D.G. (1) ☒ with general powers  
           (2) ☒ with special powers as specified in Attachment 3d(2)  
           (3) ☒ without notice of hearing  
           (4) ☐ letters will expire on (date):

and letters shall issue on qualification.

4. a. ☒ Full Authority is granted to administer the estate under the Independent Administration of Estates Act.  
 b. ☐ Limited authority is granted to administer the estate under the Independent Administration of Estates Act (there is no authority, without court supervision, to (1) sell or exchange real property or (2) grant an option to purchase real property or (3) borrow money with the loan secured by an encumbrance upon real property).  
 5. a. ☒ Bond is not required.  
 b. ☐ Bond is fixed at: \$ \_\_\_\_\_ to be furnished by an authorized surety company or as otherwise provided by law.  
 c. ☐ Deposits of: \$ \_\_\_\_\_ are ordered to be placed in a blocked account at (specify institution and location): \_\_\_\_\_ and receipts shall be filed. No withdrawals shall be made without a court order. ☐ Additional orders in Attachment 5c.  
 d. ☐ The personal representative is not authorized to take possession of money or any other property without a specific court order.  
 6. ☐ (Name): \_\_\_\_\_ is appointed probate referee.

Date: **AUG 30 2001**

*[Signature]*  
 JUDGE OF THE SUPERIOR COURT

7. Number of pages attached: 1

☐ SIGNATURE FOLLOWS LAST ATTACHMENT

ESTATE OF ALAN P. WOLFFE  
CONTRA COSTA COUNTY SUPERIOR COURT

ATTACHMENT *3d/2* TO PETITION FOR LETTERS  
OF SPECIAL ADMINISTRATION

The Petitioner respectfully requests that the court grant Letters of Special Administration to the Petitioner for the purpose of endorsing certain patent applications and stock documentation in connection with the decedent's business.

The Petitioner is the decedent's surviving spouse, and is the nominated Executor and sole beneficiary under the decedent's Will. The decedent was a research scientist, employed by Sangamo BioSciences, Inc., in Richmond, California. In his capacity as employee, the decedent developed a number of inventions, which are the intellectual property of his employer. In order to apply for patents for these inventions, the Petitioner, as personal representative of the decedent, must execute certain documentation. The patent applications must be filed immediately to avoid the loss of any such intellectual property by Sangamo BioSciences, Inc.

The Petitioner has also been advised by the Board of Directors of Sangamo BioSciences, Inc. that she, as personal representative of the decedent, must execute as soon as possible certain stock certificates and other documentation, necessary to transfer the stocks to her name as personal representative, in order for her to exercise her rights as shareholder in the company. These actions are required immediately, and are necessary and proper under the circumstances.

The Petitioner, as sole beneficiary, will be filing a Spousal Property Petition to effect the transfer of the decedent's assets to her pursuant to the terms of the decedent's Will. In the interim, her immediate appointment as Special Administrator is necessary in order to orderly administer and to avoid losses by the estate.

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, , bar number, and address): (415) 442-0900      Telephone and Fax Nos.: Fax: (415) 442-1010		FOR COURT USE ONLY  <div style="font-size: 2em; letter-spacing: 0.5em; margin: 10px 0;">F I L E D</div> <div style="font-size: 1.2em; margin: 0 0 10px 0;">AUG 29 2001</div> <div style="font-size: 0.8em; margin: 0 0 10px 0;">CLERK OF THE SUPERIOR COURT COUNTY OF CONTRA COSTA <i>E. Melendez</i></div>	
W. SCOTT THOMAS, ESQ. (STATE BAR NO. 63597) BROBECK, PHLEGER & HARRISON LLP One Market, Spear Street Tower  San Francisco, CA 94105 ATTORNEY FOR (Name): Elizabeth J. Wolffe			
<b>SUPERIOR COURT OF CALIFORNIA, COUNTY OF</b> Contra Costa STREET ADDRESS: 725 Court St., Room 103 MAILING ADDRESS: CITY AND ZIP CODE: Martinez, CA 94553-1233 BRANCH NAME:			
ESTATE OF (Name): ALAN P. WOLFFE  <div style="text-align: right;">DECEDENT</div>			
<b>LETTERS</b>  <input type="checkbox"/> TESTAMENTARY <input type="checkbox"/> OF ADMINISTRATION WITH WILL ANNEXED		<input type="checkbox"/> OF ADMINISTRATION <input checked="" type="checkbox"/> SPECIAL ADMINISTRATION  CASE NUMBER: <div style="font-size: 1.2em; margin-top: 5px;">P01-01211</div>	

**LETTERS**

1. ☐ The last will of the decedent named above having been proved, the court appoints (name):
  - a. ☐ executor.
  - b. ☐ administrator with will annexed.
2. ☒ The court appoints (name): Elizabeth J. Wolffe
  - a. ☐ administrator of the decedent's estate.
  - b. ☒ special administrator of decedent's estate
    - (1) ☒ with the special powers specified in the Order for Probate.
    - (2) ☐ with the powers of a general administrator.
    - (3) ☐ letters will expire on (date):
3. ☐ The personal representative is authorized to administer the estate under the Independent Administration of Estates Act ☐ with full authority ☐ with limited authority (no authority, without court supervision, to (1) sell or exchange real property or (2) grant an option to purchase real property or (3) borrow money with the loan secured by an encumbrance upon real property).
4. ☐ The personal representative is not authorized to take possession of money or any other property without a specific court order.

**AFFIRMATION**

1. ☐ PUBLIC ADMINISTRATOR: No affirmation required (Prob. Code, § 7621(c)).
2. ☒ INDIVIDUAL: I solemnly affirm that I will perform the duties of personal representative according to law.
3. ☐ INSTITUTIONAL FIDUCIARY (name):

I solemnly affirm that the institution will perform the duties of personal representative according to law. I make this affirmation for myself as an individual and on behalf of the institution as an officer.  
 (Name and title):

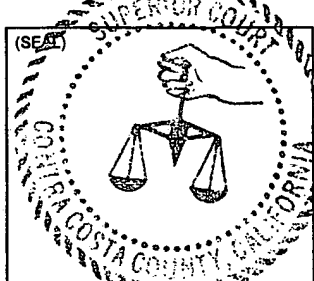
4. Executed on (date): 08/29/01  
 at (place): Martinez, California.

*Elizabeth J. Wolffe*  
 (SIGNATURE)

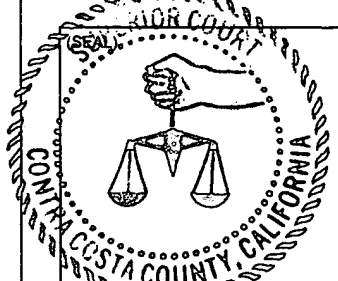
**CERTIFICATION**

I certify that this document is a correct copy of the original on file in my office and the letters issued the personal representative appointed above have not been revoked, annulled, or set aside, and are still in full force and effect.

WITNESS, clerk of the court, with seal of the court affixed.



Date: AUG 29 2001  
 Clerk, by *E. Melendez*  
 (DEPUTY)  
 CLERK OF THE SUPERIOR COURT



Date: SEP 18 2001  
 Clerk, by *A. Luzzo*  
 (DEPUTY)  
 CLERK OF THE COURT



**ESTATE OF ALAN P. WOLFFE  
CONTRA COSTA COUNTY SUPERIOR COURT**

**ATTACHMENT 3d(2) TO ORDER FOR LETTERS  
OF SPECIAL ADMINISTRATION**

ELIZABETH J. WOLFFE, is hereby appointed as Special Administrator of the Estate of ALAN P. WOLFFE, with the following special powers:

1. The power to execute patent applications and related documents as personal representative of the decedent;
  2. The power to execute stock certificates and related documents as personal representative of the decedent; and
  3. The power to take any other actions necessary, as personal representative, to preserve the assets of the estate.
-